

MECE 3350U
Control Systems

Lecture 19
Nyquist Plots

Videos in this lecture

Lecture: https://youtu.be/Qk0H_XHaQLI

Exercise 112: <https://youtu.be/aeIsk8Eikyg>

Exercise 113: <https://youtu.be/njLsdqlycoQ>

Exercise 114: https://youtu.be/KjBKVbY_3aQ

Exercise 115: <https://youtu.be/BAsS1U4Jz5k>

Exercise 116: <https://youtu.be/J7vLxaH5058>

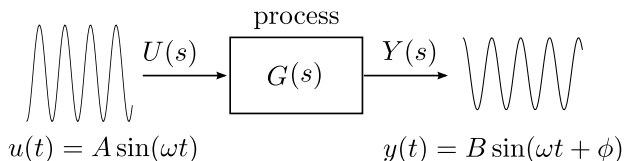
Outline of Lecture 19

By the end of today's lecture you should be able to

- Draw the approximate Nyquist plot of a transfer function
- Relate the Nyquist plot to frequency response
- Determine the stability based on open loop transfer function

Applications

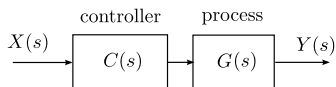
The frequency response of any system can be determined experimentally.



What does this information about the open-loop system tell us about the stability of the closed-loop system?

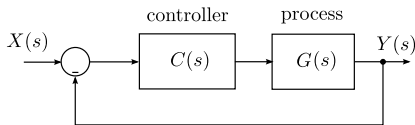
Review

An open loop transfer function $L(s) = C(s)G(s)$



is stable if all the **poles** of $C(s)G(s)$ have negative real parts.

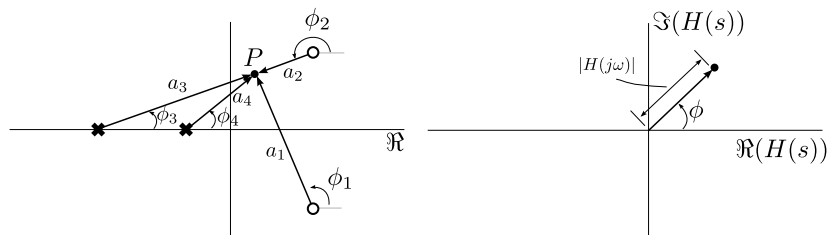
The closed loop system



$$T(s) = \frac{C(s)G(s)}{1 + C(s)G(s)}$$

is stable if the **zeros** of $1 + C(s)G(s)$ have negative real parts.

Cauchy's argument principle



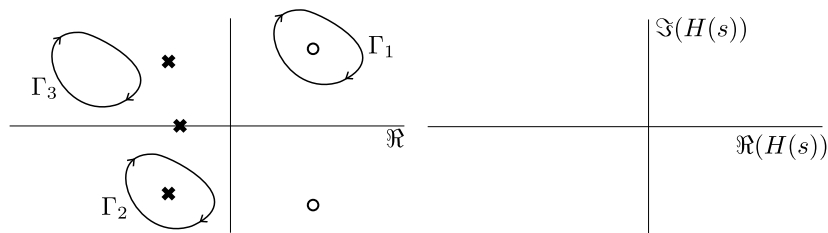
The magnitude is

$$|G(j\omega)| = \frac{a_1 \times a_2}{a_3 \times a_4}$$

The phase is

$$\phi = \phi_1 + \phi_2 - \phi_3 - \phi_4$$

Cauchy's argument principle



As s traverses Γ_1 , the net angle change is

As s traverses Γ_2 , the net angle change is

As s traverses Γ_3 , the net angle change is

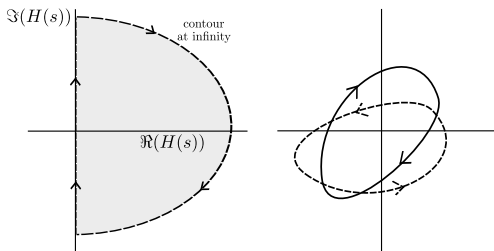
Cauchy's argument principle

If the characteristic equation of $1 + C(s)G(s)$ has:

→ A number P of **poles** in the right-half plane.

→ A number Z of **zeros** in the right-half plane.

For an contour that encircles the entire right-half plane:



The relation between P , Z , and the **net** number N of clockwise encirclements of the origin is:

$$N = Z - P$$

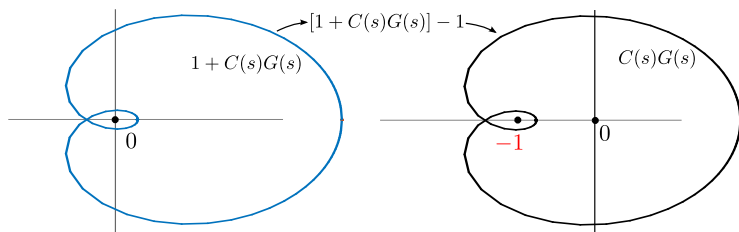
Nyquist plot

$$1 + C(s)G(s) = 0 \quad (1)$$

If (1) has a zero or pole in the right-half s-plane, the contour of (1) encircles the origin.

$$T(s) = C(s)G(s) \quad (2)$$

If (2) has a zero or pole in the right-half s-plane, the contour of (1) encircles $-1 + j0$.



The Nyquist Stability Criterion

An **open-loop** transfer function $L(s)$ has Z unstable **closed-loop** roots given by

$$Z = N + P$$

→ N is the number of clockwise encirclements of -1

→ P is the number of poles in the right-half s -plane

Counterclockwise encirclements are negative.

Thus:

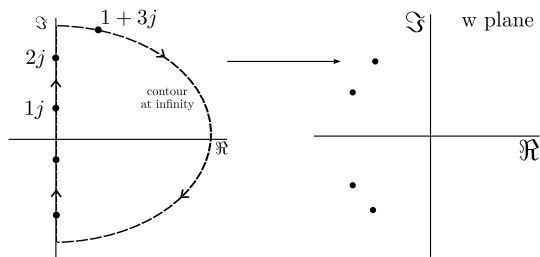
A **open-loop** transfer function $L(s)$ is **closed-loop** stable if and only if the number of counterclockwise encirclements of the $-1 + 0j$ point is equal to the number of poles of $L(s)$ with positive real parts.

Nyquist plot

How to create the Nyquist plot for a given function?

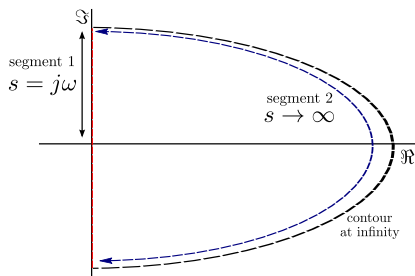
$$L(s) = \frac{s + 1}{s^2 + 3}$$

Point by point mapping?



Nyquist plot

The Nyquist contour can be divided into two segments



⇒ Segment 1 - The imaginary axis, i.e., $s = j\omega$

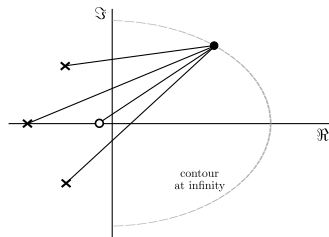
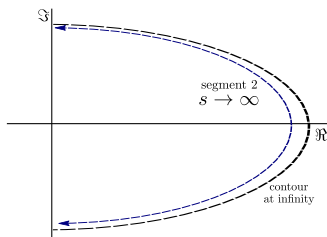
Thanks to symmetry, only the positive part needs to be evaluated

⇒ Segment 2 - The contour at infinity

Segment 2 maps to a single point!

Segment 2 - Contour at infinity

Case 1 - More poles than zeros



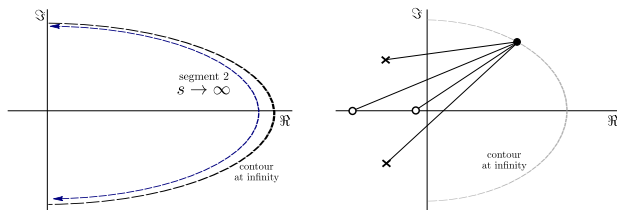
If $|s| \rightarrow \infty$ and $m > n$, then

$$|H(s)| = k \frac{\prod_{i=1}^n (|s + z_i|)}{\prod_{k=1}^m (|s + p_k|)} \rightarrow 0$$

- The magnitude is zero for all points lying on the contour at infinity
- The phase is irrelevant
- In the Nyquist plot the entire segment maps to zero.

Segment 2 - Contour at infinity

Case 2 - Same number of poles and zeros



If $|s| \rightarrow \infty$ and $m = n$, then

$$|H(s)| = k \frac{\prod_{i=1}^n (|s + z_i|)}{\prod_{k=1}^m (|s + p_k|)} = \beta$$

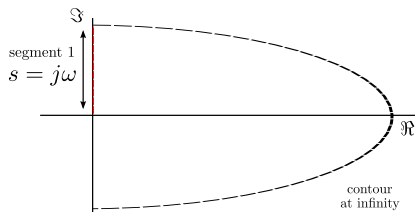
The phase is

$$\angle H(s) = \sum_{i=1}^n \angle(s + z_i) - \sum_{k=1}^m \angle(s + p_k) \approx 0$$

Thus

$$\beta \in \mathcal{R}^+$$

Segment 1 - positive imaginary axis



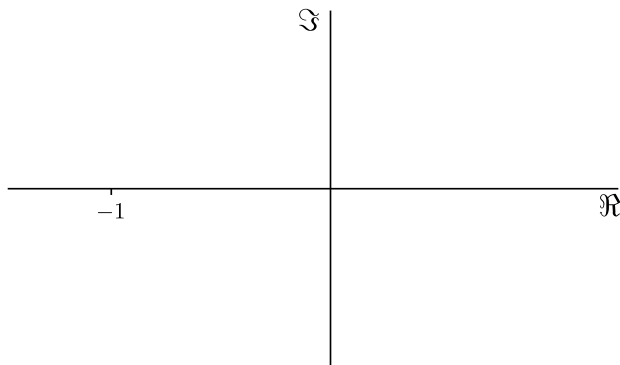
For the imaginary segment, 4 points need to be analysed

- 1 $\rightarrow \omega = 0$ (starting point)
- 2 $\rightarrow \omega \rightarrow \infty$
- 3 \rightarrow Point in the w-plane where the plot crosses the real axis
- 4 \rightarrow Point in the w-plane where the plot crosses the imaginary axis

Exercise 112

Determine the Nyquist plot for the open-loop transfer function

$$L(s) = \frac{1}{s^2 + s + 1}$$



Exercise 112 - continued

$$L(s) = \frac{1}{s^2 + s + 1} \rightarrow L(j\omega) = \frac{1}{-\omega^2 + j\omega + 1}$$

$$\omega = 0$$

$$\omega \rightarrow \infty$$

Real axis crossing

Imaginary axis crossing

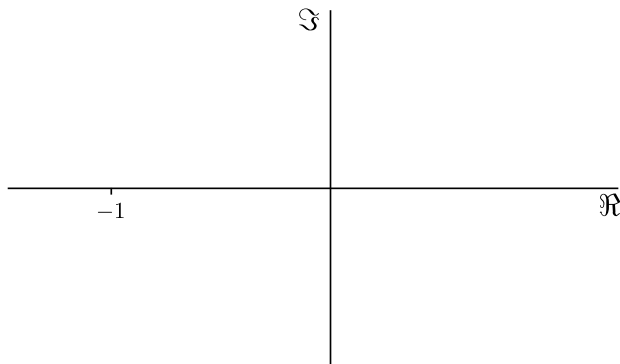
Exercise 112 - continued

Starting point: $\omega = 0 \rightarrow w = 1 \angle 0^\circ$

Mid point: $\omega = \infty \rightarrow w = 0 \angle -180^\circ$

Imaginary axis crossing point: $w = \pm 1j$

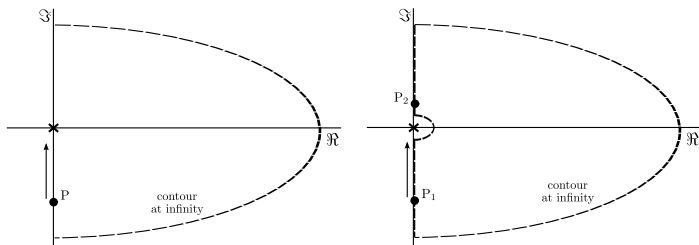
Real axis crossing point: $w = 0$



Poles or zeros on the imaginary axis

A pole or zero anywhere on the imaginary axis will create an arc at infinity.

Example: $H(s) = \frac{1}{s}$



As P tends to zero:

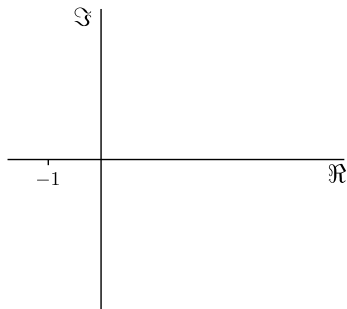
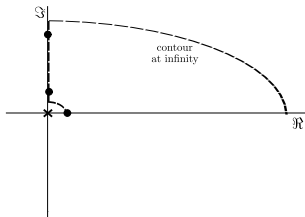
$|H(j\omega)| \rightarrow \infty$ and $\angle H(j\omega) = -\angle s = 0 - (-90) = 90^\circ$ but it is **undefined at 0**

As P_1 follows the contour **around 0**

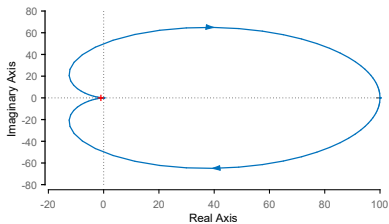
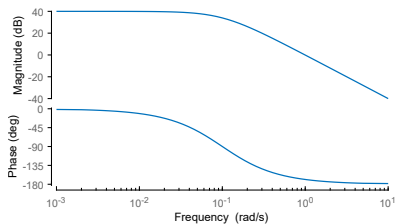
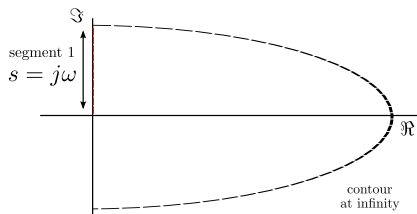
$|H(j\omega)| \rightarrow \infty$ and $\angle H(j\omega) = +90^\circ$, $\angle H(j\omega) = 0^\circ$, $\angle H(j\omega) = -90^\circ$

Poles or zeros on the imaginary axis

Example: $H(s) = \frac{1}{s}$



Nyquist plot vs Bode plot



Steps for analysis

- 1 → In the transfer function, set $s = j\omega$
- 2 → Evaluate the points $\omega = 0$, and $\omega \rightarrow \infty$ (including phase)
- 3 → Find the points where the plot crosses the imaginary and real axis
- 4 → Sketch the Nyquist plot and draw the reflection about the real axis
- 5 → Evaluate the number N of clockwise encirclements of -1 . If encirclements are in counterclockwise direction, N is negative.
- 6 → Determine the number P of unstable poles of the open-loop transfer function
- 7 → Calculate the number Z of unstable roots $Z = N + P$.

Exercise 113

Using the Nyquist stability criterion, evaluate the stability of a closed-loop system whose loop transfer function is

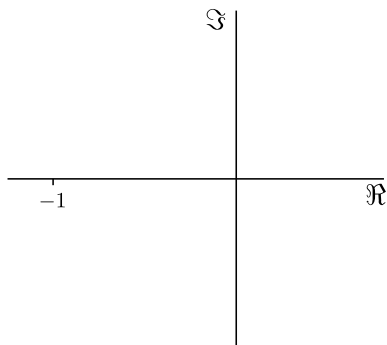
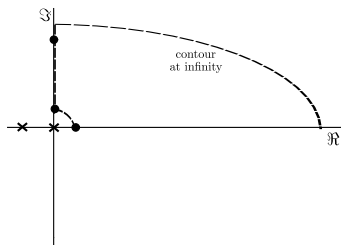
$$H(s) = \frac{1}{s(s + a)}$$

Exercise 113 - continued

$$H(s) = \frac{1}{s(s+a)}$$

Exercise 113 - continued

$$H(s) = \frac{1}{s(s+a)}$$



Exercise 114

A closed-loop system has a loop transfer function

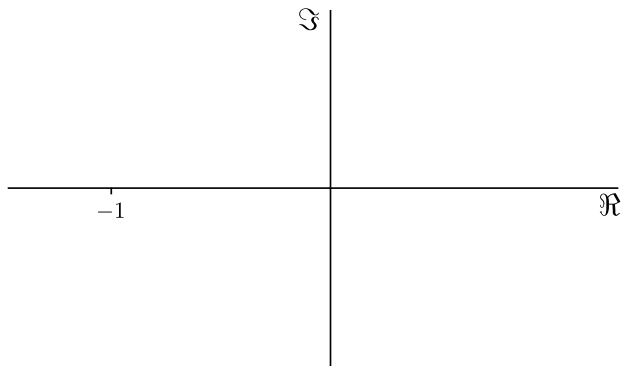
$$L(s) = k \frac{s + 2}{s^2 - 1}.$$

Determine the minimum gain k that stabilizes the closed-loop system.

Use the Nyquist stability criterion.

Exercise 114 - continued

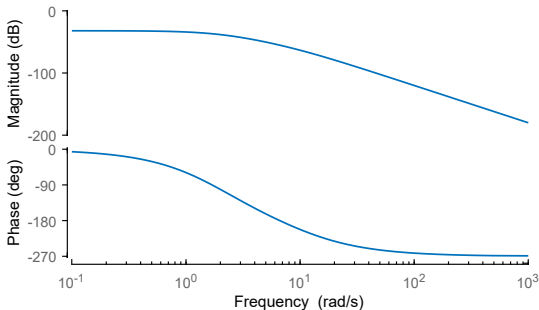
$$L(s) = k \frac{s + 2}{s^2 - 1}.$$



Exercise 115

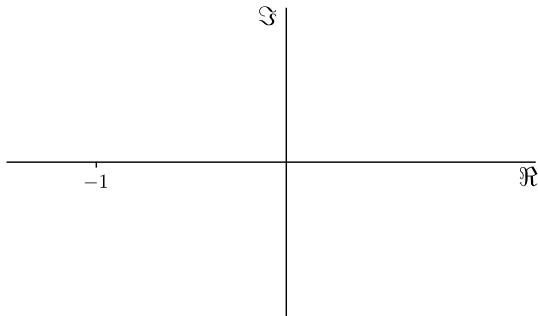
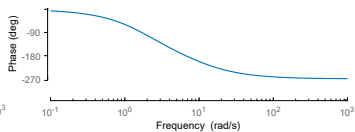
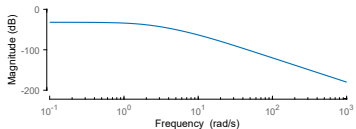
Sketch the Nyquist plot based on the Bode plots ($k = 1$) for the following system, then compare your result with that obtained using the Matlab command "nyquist". Using your plots, estimate the range of k for which the system is stable, and quantitatively verify your result using a rough sketch of a root-locus plot.

$$L(s) = \frac{k}{(s + 10)(s + 2)^2}$$



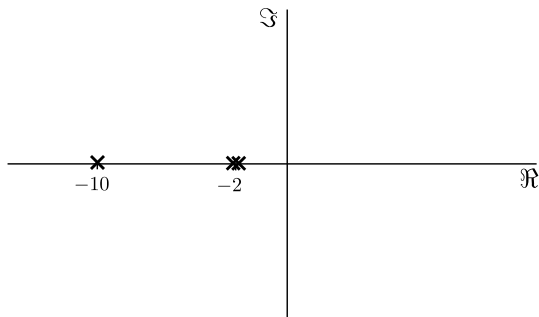
Exercise 115 - continued

$$L(s) = \frac{k}{(s + 10)(s + 2)^2}$$



Exercise 115 - continued

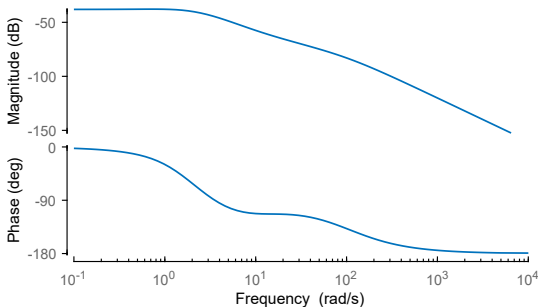
$$L(s) = \frac{k}{(s + 10)(s + 2)^2}$$



Exercise 116

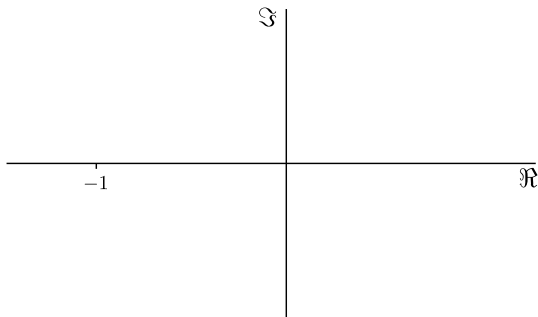
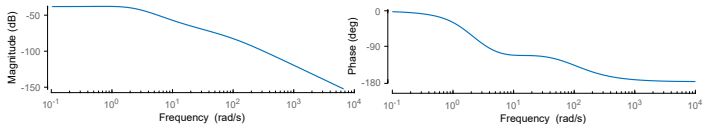
Sketch the Nyquist plot based on the Bode plots ($k = 1$) for the following system, then compare your result with that obtained using the Matlab command "nyquist". Using your plots, estimate the range of k for which the system is stable, and quantitatively verify your result using a rough sketch of a root-locus plot.

$$L(s) = k \frac{(s + 10)(s + 1)}{(s + 100)(s + 2)^3}$$



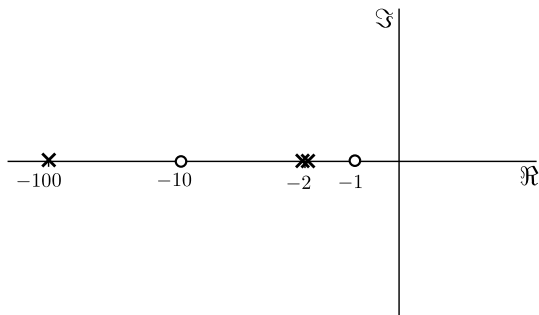
Exercise 116 - continued

$$L(s) = k \frac{(s + 10)(s + 1)}{(s + 100)(s + 2)^3}$$



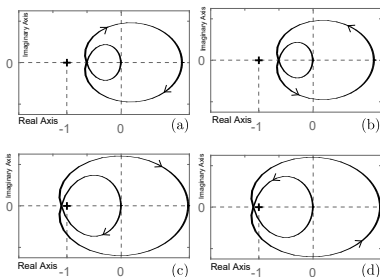
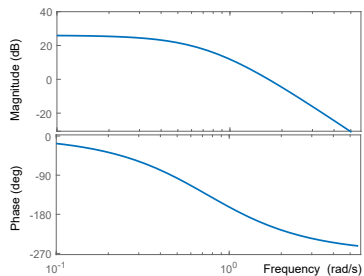
Exercise 116 - continued

$$L(s) = k \frac{(s + 10)(s + 1)}{(s + 100)(s + 2)^3}$$



Skills check 48 - From 2018 final examination

Which Nyquist plot corresponds to the Bode plot shown?



Justify your answer.

Skills check 49 - From 2018 final examination

The Nyquist stability criterion is concerned with the number of encirclements of -1 on the Nyquist plot. Explain why -1 is the point of interest (*3 marks*).

Skills check 50 - From 2018 deferred final examination

Explain how the Cauchy's argument principle is used to derive the Nyquist stability criterion (*4 marks*).

Answers to skills check

S48 - The correct answer is (c)

S49 and S50 - Show your answer to instructor or TA.

Next class...

- Stability margins